

06-27-01

A



## CERTIFICATE OF EXPRESS MAILING

EXPRESS MAIL Mailing Label Number EL478172287US

Date of Deposit: Jun 20, 2001

I hereby certify under 37 CFR 1.10 that this correspondence is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" with sufficient postage on the date indicated above and is addressed to the Commissioner for Patents, Washington, D.C. 20231

Name:

Signature

DEBORAH C. ROBERTS

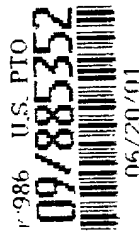
Clifford Chance Rogers &amp; Wells LLP

Docket No. 6208/25

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
**PATENT APPLICATION TRANSMITTAL**

COMMISSIONER OF PATENTS  
**BOX PATENT APPLICATION**  
 Washington, D.C. 20231

EL478172287US



Sir:

Transmitted herewith for filing is a utility patent application

Of: GIOVANNI GUIDI and MARCO STEPHAN PAULETTI

For: **GAMMA TRADING TOOL**

ENCLOSED are the following:

1. ☒ Specification, abstract and claims (18 pages)
2. ☐ 3 sheets of formal drawings (Figs.1-3)  
Suggested Figure for Publication: \_\_\_\_\_
3. ☐ 12 Claims; 2 pages  
☐ signed      ☐ unsigned  
☐ Copy from a prior application (for continuation/divisional)
4. ☐ Preliminary Amendment
5. ☒ Information Disclosure Statement and PTO-1449
6. ☐ Assignment and Assignment Recordation sheet (*Fee calculated separately*)
7. ☐ The prior application is assigned to: \_\_\_\_\_  
 The assignment was recorded on \_\_\_\_\_ at reel \_\_\_\_\_ and frame \_\_\_\_\_.  
☐ A copy of the assignment from the prior application is enclosed.
8. ☐ Non-Publication Request
9. ☐ Other:

**NOTE the following:**

10. ☐ This application is made under 37 C.F.R. § 1.53 (b) and is a  
☐ Continuation      ☐ Continuation-In-Part      ☐ Divisional  
 of Prior Application No. \_\_\_\_\_ filed \_\_\_\_\_
11. ☐ An Extension of time is filed concurrently herewith for the parent application.
12. Small Entity Status:  
☐ Applicant is a small entity

- ☐ Small entity status claimed in the parent application is no longer proper
13. ☐ Applicants claim priority from the following applications(s) under 35 U.S.C. § 119:

Serial Number

Country

Filing Date

Certified Copy Encl.

15. ☐ Cancel claims \_\_\_\_\_ prior to calculation of the filing fee.

**FILING FEE:** calculated below (after accounting for any preliminary amendment or claims cancellations if noted above):

Basic Filing Fee			\$710.00
Total No. Claims 12	Extra Claims(Total-20) 0	Fee / claim \$18.00	\$0.00
Total No. Independent Claims 3	Extra Independ.(No.-3) 0	Fee / claim \$80.00	\$0.00
Multiple dependent claim? + \$280			\$0
<b>Sub-Total</b>			\$710.00
Small Entity? less 50%			-0.00
<b>TOTAL FEE DUE</b>			\$710.00

16. ☐ A check in the amount of is enclosed (must at least cover the basic fee).
- ☒ The Commissioner is authorized to charge \$710.00 in connection with this communication to Deposit Account No. 50-0521.

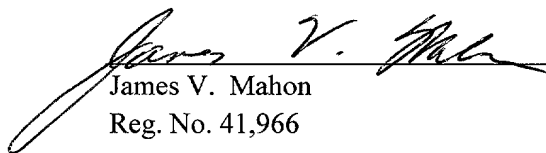
In the event that a petition for extension of time is required to be submitted in the pending prior application, and in the event that a separate petition for the pending prior application is not filed on even date, applicant hereby petitions under 37 C.F.R.1.136(a) for an extension of time in the pending prior application of as many months as are required to render this submission timely. The Commissioner is authorized to charge any fees due in connection with such a petition to Deposit Account No. 50-0521.

The Commissioner is authorized to charge any fee or additional fee due in connection with this communication to Deposit Account No. 50-0521.

A duplicate copy of this sheet is enclosed.

Date: Jun 20, 2001

Respectfully submitted,

  
James V. Mahon  
Reg. No. 41,966

Clifford Chance Rogers & Wells LLP  
200 Park Avenue  
New York, NY 10166-0153  
Telephone: (212) 878-8073